

3731 #5 Receipt

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name		Martha Cisneros	
Signature		Date July 7, 2000	
REQUEST FOR CORRECTED FILING RECEIPT Address to: Assistant Commissioner for Patents Washington, D.C. 20231		Attorney Docket	GUID-006CON6
		First Named Inventor	Charles S. Taylor et al.
		Application Number	09/480,828
		Filing Date	January 10, 2000
		Group Art Unit	3731
		Examiner Name	Unassigned
		Title	Access Platform for Internal Mammary Dissection

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The mailing address reads "LYON AND LYON LLP, Suite 4700, 633 West Fifth Street, Los Angeles CA 90071-2066," but should read --BOZICEVIC, FIELD & FRANCIS, LLP, 200 Middlefield Road, Suite 200, Menlo Park, CA 94025--
- (2) The Filing Date reads "01/01/2000," but should read --01/10/2000
- (3) The Attorney Docket No. reads "249/075", but should read --GUID-006CON6-- and
- (4) The Continuing Data as Claimed by Applicant reads " THIS APPLICATION IS A CON OF 09/385,812 08/30/1999, WHICH IS A CON OF 08/787,748 01/27/1997 ABN," but should read --THIS APPLICATION IS A CON OF 09/385,812 08/30/1999, **Which is a CON of 08/903,516 filed 07/30/1997 PAT 5,944,736, WHICH IS A CON OF 08/787,748 01/27/1997 ABN**

A copy of the Express Mail Label and Postcard is attached to indicate the correct date of Filing for this application. The first page of the specification is also enclosed to indicate the correct continuing data as claimed by the applicant.

Some of the above corrections are due to applicant's error and the fee therefor, under 37 C.F.R.

1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is a check.
☒ Charge Account No. 50-0815.

Please return the enclosed postcard stamped with the Patent and Trademark Office date stamp to evidence receipt of this request.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

July 7, 2000

By:



Alan W. Cannon
Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
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F:\DOCUMENT\GUID\006CON6\Request for Corrected Filing Receipt.wpd

FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.



Attorney Docket Number	UID-006CON6
First Named Inventor	Charles S. Taylor et al.
Application Number	09/480,828
Filing Date	January 10, 2000
Group Art Unit	3731
Examiner Name	Access Platform for Internal Mammary...

METHOD OF PAYMENT

- ☐ A CHECK IS ENCLOSED
- ☒ The Commissioner is hereby authorized to charge any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:
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Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$

2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	- 20	=	x	= \$
Ind. claims	- 3	=	x	=
Multiple Dependent claims			x	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2)				

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	380	216	190	Ext. for reply within second month	
118	1,360	218	680	Ext. for reply within fourth month	
119	300	219	150	Notice of Appeal	
121	260	221	130	Request for oral hearing	
141	1,210	241	605	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of IDS	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	580	244	290	Plant issue fee	
Other fee (specify)					
Request for Corrected Filing Receipt					25.

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 25.00

TOTAL AMOUNT ENCLOSED (\$ 25.00)

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP			Reg. Number	34,977
Signature				Deposit Account	50-0815
Date	7/7/00				

FILING RECEIPT



OC000000005142487

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/480,828	01/01/2000 01/10/2000	3731	976	249/075	62	18	5

GWD-006CONE

22249
LYON & LYON LLP
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633 WEST FIFTH STREET
LOS ANGELES, CA 90071-2066

BOZICEVIC, FIELD & FRANCIS LLP
200 MIDDLEFIELD ROAD, SUITE 200
MENLO PARK, CA 94025

Date Mailed: 05/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

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William F. Witt, Palo Alto, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999
WHICH IS A CON OF 08/787,748 01/27/1997 ABN ~~5,976,171~~
WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171
WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

Which is a CON OF 08/903,516
FILED 07/30/97
PATENT NO.
5,944,736

RECEIVED
JUN 16 2000
U.S. PROSECUTION

Foreign Applications

If Required, Foreign Filing License Granted 02/17/2000

Title

ACCESS PLATFORM FOR INTERNAL MAMMARY DISSECTION

Preliminary Class
606



Data entry by : GENTRY, CHRISTINE

Team : OIPE

Date: 05/26/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
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- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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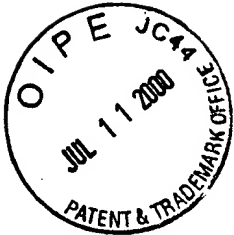
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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Charles S. Taylor, et al.
Serial No.: Not Yet Assigned
For: ACCESS PLATFORM FOR INTERNAL MAMMARY
DISSECTION
Filed: Herewith

CONTINUATION APPLICATION

Attorney(s): Kenneth S. Roberts
Docket No.: 249/075
Date of Deposit: January 10, 2000
Express Mail No.: EL508737254US
Enclosure(s): Patent Application Transmittal; Specification, Preliminary Statement; 62 pages Formal Drawings, IDS Transmittal, form 1449.



OC-41955.1

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**ACCESS PLATFORM FOR
INTERNAL MAMMARY DISSECTION**

5 This application is a continuation of co-pending application Serial No. 09/385,812, filed August 30, 1999, which in turn is a continuation of Serial No. 08/903,516, filed on July 30, 1997, now issued as U. S. Patent No. 5,944,736, which in turn is a continuation of Serial No. 08/787,748, filed on January 27, 1997, now abandoned, which in turn is a continuation-in-part of application Serial No. 08/619,903, filed on March 20, 1996, now issued as U.S. Patent No. 10 5,976,171, which in turn is a continuation-in-part of co-pending application Serial No. 08/604,161, filed on February 20, 1996, now issued as U.S. Patent No. 5,730,757, the disclosures of which are incorporated herein by reference as if set forth in full.

DESCRIPTION

15 Field of the Invention

 This invention relates to retractors, and more particularly to an access platform that facilitates access to the interior of the chest cavity during surgical procedures.

Background of the Invention

20 Diseases of the cardiovascular system affect millions of people each year and are a leading cause of death in the United States and throughout the world. The cost to society from such diseases is enormous both in terms of lives lost and the cost of treating cardiac disease patients through surgery. A particularly prevalent form of cardiovascular disease is a reduction in the blood supply to the heart caused by atherosclerosis or other conditions that create a 25 restriction in blood flow at a critical point in the cardiovascular system leading to the heart. In